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# JC20 Recar CT/PTO 1 4 JUL 2009

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I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under Express Mail Label No. EV 627049644 US in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below

Attorney Docket No. 101769-320 KGB

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT** 

Marc HUSEMANN et al.

SERIAL NO.

To be assigned [This is 371 of PCT/EP2003/014396]

CUSTOMER NO. :

27384

**FILED** 

Herewith

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**EXAMINER** 

To Be Assigned

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicants respectfully request that the Examiner consider the references listed on the attached Form PTO-1449.

#### Timeliness, Fees and Certifications in lieu of Fees I.

This information disclosure statement is being filed within three months of the  $|\mathbf{x}|$ Α. filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits. Pursuant to 37 CFR § 1.97(b), consideration of this information disclosure statement does not require a fee or a statement under 37 CFR § 1.97(e). However, should the Assistant Commissioner determine that a fee

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Information Disclosure Statement

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is, in fact, due, the Assistant Commissioner is hereby authorized to charge the fee to Deposit Account No. 14-1263. B. This information disclosure statement is being filed after the period in A above, but before the mailing of either a final action or a notice of allowance. Pursuant to 37 CFR § 1.97(c), consideration of this information disclosure statement requires a fee or a statement under 37 CFR § 1.97(e): The Assistant Commissioner is hereby authorized to charge the fee set 1. forth in 37 CFR § 1.17(p) to Deposit Account No. 14-1263. 2. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 3. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement. C. This information disclosure statement is being filed after the period specified in B above, but on or before the payment of the issue fee. Pursuant to 37 CFR § 1.97(d), consideration of this information disclosure statement requires a petition, which Applicants hereby request, and payment of the petition fee, which is set forth in 37 CFR § 1.17(i), and which the Assistant Commissioner is hereby authorized to charge to Deposit Account No. 14-1263. Consideration of this information disclosure statement also requires a statement under 37 CFR § 1.97(e):

1.

information disclosure statement was cited in a communication from a foreign patent

Applicants hereby state that each item of information contained in this

office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 2. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement. **Copies of Listed References** Copies of all references listed on the attached Form PTO-1449 are being supplied. A. Copies of U.S. patents are not included pursuant to Pre-OG Notice dated July 11, 2003. Copies of all references listed on the attached Form PTO-1449 have already B. been supplied during the prosecution of prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_\_, from which the present application claims priority pursuant to 35 USC § 120. Therefore, pursuant to 37 CFR § 1.98(d), copies of the references listed on the attached Form PTO-1449 are not now being supplied. C. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report and both the international search report and the copies of the references listed on the attached Form PTO-1449 are in this national stage file. Therefore, copies of the references listed on the attached Form PTO-1449 are not now being supplied. **Concise Statement of Relevance** All references listed on the attached Form PTO-1449 are in the English A. language, and, therefore, a concise statement of relevance is not required.

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II.

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III.

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B.

specification and/or were cited in the international or German search reports, and a copy of

All references listed on the attached Form PTO-1449 are referred to in the

both search reports, which indicates the degree of relevance found by the Search Authority, is attached.

The Examiner will note that English language counterparts or Abstracts of non-English language references are also enclosed, as follows:

DE 695 09 537 T2 – Abstract provided.

JP 56140183 – No abstract available.

	C.	All references listed on the attached Form PTO-1449 were cited in the search						
report	issued b	y the Patent Office, and an English-language version of that search						
report,	which i	ndicates the degree of relevance found by that Patent Office, is attached.						
	D.	This application is a PCT national stage application, all references listed on the						
attache	ed Form	PTO-1449 were cited in the international search report, and a copy of that						
search	report,	which indicates the degree of relevance found by the International Search						
Author	rity, is a	ttached.						
	E.	All listed on the attached Form PTO 1449 were cited during the prosecution of						
the pri	or appli	cation indicated above under II.B.						
IV.	Additi	ional Information						
	A.	In addition to the references listed on the attached Form PTO-1449, Applicants						
wish to	bring t	o the attention of the Examiner the following abandoned or co-pending U.S.						
patent	applicat	ions:						
[Pursu	ant to 3	7 CFR § 1.98(a)(2)(iii), copies of these applications are not being submitted.]						
	B.	In addition of the references listed on the attached Form PTO-1449,						
	Б.	in addition of the references fisted on the attached Form F10-1449,						
		sh to bring to the attention of the Examiner the information provided on the						

## Consideration of the foregoing in relation to this application is respectfully requested L 2005

Respectfully submitted,

NORRIS MCLAUGHLHV & MARCUS, P.A.

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New York, New York 10022

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JC20 Rec'd PCT/PTO 1 4 JUL 2006 08A (08-03) for use through 73 H 2006 200 B 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT'S (Form PTO-1449)						Filing Date:	Herewith  Marc HUSEMANN et al		
						First Named Inventor			
						Art Unit	To Be Assigned		
						Examiner Name:	To Be Assigned	_	
Sheet 1 of 1						Attorney Docket Number:	orney Docket Number: 101769-302 KGB		
				Ţ	J.S. PATE	NT DOCUMENTS			
Examiner's Initials*	Cite No. <sup>1</sup>	Docume	nt Number		lication Date M-DD-YYYY	Name of Patentee or Applicant of Cited Documen	Pages, Columns, Lines, Where t Relevant Passages or Relevant Figures Appear		
		Number	-Kind Code <sup>2</sup>						
	AA	2002/0	155243 A1	10/24/ 2002		Kobe et al		_	
	AB	5,487,339		01/30/ 1996		Breventani et al			
	AC								
	AD								
				FOF	REIGN PA	TENT DOCUMENTS	·		
Examiner's Initials*	Cite No.	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>			Publication Date  MM-DD-YY)	Applicant of Cited Docume	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AE WO 92/00187 A1		Code	01/09/1992		rigares Appear			
	AF	EP 1 251 157 A1			10/23/2002	2 Xerox Corporation			
	AG WO 03/046100 A1			060/5/2003	James Hardie Research Pty Limited				
	АН	WO 03/002682 A1			010/9/2003	3M Innovative Properties Company			
	Al	WO 96/25453			08/22/1990	The Dow Chemical Company			
	AJ DE 695 09 537 T2			09/23/1999	Minnesota Mining & Mfg Co.				
	AK JP 56 1 40183 A				02-11-198	Takeda Chemical Industries Ltd. et al			
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### **EXAMINER SIGNATURE:**

AN

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>see Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>for Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

The collection of information is required by 37 CFR 1.197 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2